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PTO/SB/87 (08-03)

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Date

Brian C. McCormack
Signature

Brian C. McCormack, Reg. No. 36,601

Typed or printed name of person signing Certificate

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FOR S/N 09/938,912

1. Amendment and Response to Office Action;
2. Claim Dependency Chart
3. Change of Address for Correspondence
4. Prosecution by Assignee and Power of Attorney under 37 CFR 3.71;
5. Fee Transmittal Form;
6. Transmittal Form; and
7. Facsimile transmission cover sheet

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PTO/SB/21 (09-04)

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**TRANSMITTAL
FORM**

(To be used for all correspondence after initial filing)

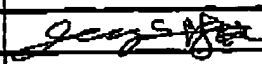
Total Number of Pages in This Submission

| | |
|------------------------|-------------------|
| Application Number | 09/936,912 |
| Filing Date | August 24, 2001 |
| First Named Inventor | Beying Wen et al. |
| Art Unit | 2172 |
| Examiner Name | WOO, ISAAC M |
| Attorney Docket Number | 87141937-002002 |

ENCLOSURES (Check all that apply)

| | | |
|---|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input checked="" type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/Declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input checked="" type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD Remarks: _____ | <input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Claim Dependency Chart Postcard Acknowledgement |
|---|---|--|

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | | |
|--------------|---|----------|--------|
| Firm Name | Baker & McKenzie | | |
| Signature |  | | |
| Printed name | Jenny W. Chen | | |
| Date | 10/15/2004 | Reg. No. | 44,804 |

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

| | | | |
|-----------------------|--------------------|------|------------|
| Signature | Brian C. McCormack | | |
| Typed or printed name | Brian C. McCormack | Date | 10/15/2004 |

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PTO/SB/17 (10-04v2)

Approved for use through 07/31/2008. OMB 0651-0032

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FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 72.00)

Complete if Known

| | |
|----------------------|---------------|
| Application Number | 09/938,912 |
| Filing Date | 08/24/2001 |
| First Named Inventor | Wen et al. |
| Examiner Name | Woo |
| Art Unit | 2172 |
| Attorney Docket No. | 87141937.2002 |

METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account

Deposit Account Number
13-0480 Deficiencies only

Deposit Account Name
Baker McKenzie

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description | Fee Paid |
|----------------------------|----------------------------|------------------------|----------|
| 1001 790 | 2001 395 | Utility filing fee | |
| 1002 350 | 2002 175 | Design filing fee | |
| 1003 550 | 2003 275 | Plant filing fee | |
| 1004 790 | 2004 395 | Reissue filing fee | |
| 1005 160 | 2005 80 | Provisional filing fee | |
| SUBTOTAL (1) (\$) | | | |

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

| Total Claims | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| 33 | -29** = 4 | X 18 | = 72 |
| Independent Claims | 3 | -3** = 0 | X = 0 |
| Multiple Dependent | | | |

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description |
|----------------------------|----------------------------|--|
| 1202 18 | 2202 9 | Claims in excess of 20 |
| 1201 88 | 2201 44 | Independent claims in excess of 3 |
| 1203 300 | 2203 150 | Multiple dependent claim, if not paid |
| 1204 88 | 2204 44 | ** Reissue independent claims over original patent |
| 1205 18 | 2205 9 | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$ 72)

**or number previously paid, if greater. For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code (\$) | Fee Code (\$) | Fee Description | Fee Paid |
|---------------|---------------|--|----------|
| 1051 130 | 2051 65 | Surcharge - late filing fee or oath | |
| 1052 50 | 2052 25 | Surcharge - late provisional filing fee or cover sheet | |
| 1053 130 | 2053 130 | Non-English specification | |
| 1812 2,520 | 1812 2,520 | For filing a request for ex parte reexamination | |
| 1804 920* | 1804 920* | Requesting publication of SIR prior to Examiner action | |
| 1805 1,840* | 1805 1,840* | Requesting publication of SIR after Examiner action | |
| 1251 110 | 2251 55 | Extension for reply within first month | |
| 1252 430 | 2252 215 | Extension for reply within second month | |
| 1253 980 | 2253 490 | Extension for reply within third month | |
| 1254 1,630 | 2254 765 | Extension for reply within fourth month | |
| 1255 2,080 | 2255 1,040 | Extension for reply within fifth month | |
| 1401 340 | 2401 170 | Notice of Appeal | |
| 1402 340 | 2402 170 | Filing a brief in support of an appeal | |
| 1403 300 | 2403 160 | Request for oral hearing | |
| 1451 1,510 | 1451 1,510 | Petition to Institute a public use proceeding | |
| 1452 110 | 2452 55 | Petition to revive - unavoidable | |
| 1453 1,370 | 2453 685 | Petition to revive - unintentional | |
| 1501 1,370 | 2501 685 | Utility Issue fee (or reissue) | |
| 1502 490 | 2502 245 | Design Issue fee | |
| 1503 680 | 2503 330 | Plant Issue fee | |
| 1460 130 | 1460 130 | Petitions to the Commissioner | |
| 1807 50 | 1807 50 | Processing fee under 37 CFR 1.17(a) | |
| 1806 180 | 1806 180 | Submission of Information Disclosure Stmt | |
| 8021 40 | 8021 40 | Recording each patent assignment per property (times number of properties) | |
| 1809 790 | 2809 395 | Filing a submission after final rejection (37 CFR 1.129(a)) | |
| 1810 790 | 2810 395 | For each additional invention to be examined (37 CFR 1.129(b)) | |
| 1801 790 | 2801 395 | Request for Continued Examination (RCE) | |
| 1802 900 | 1802 900 | Request for expedited examination of a design application | |

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

| | | | | | |
|-------------------|---------------------------|-----------------------------------|------------|-----------|------------|
| Name (Print/Type) | Brian C. McCormack | Registration No. (Attorney/Agent) | 36601 | Telephone | 2149783007 |
| Signature | <i>Brian C. McCormack</i> | Date | 10/15/2004 | | |

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PTO/SB/07 (08-03)

Approved for use through 7/31/2006. OMB 0851-0032

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| CLAIM DEPENDENCY CHART | | | | | | | Application Number 09/938,912 | Filing Date 08/24/2001 |
|------------------------|----------|--------|-----------------------|--------|------------------------|--------|---|---------------------------|
| | | | | | | | Applicant(s) Wen et al. | |
| | | | | | | | * May be used for additional claims or amendments | |
| CLAIMS | AS FILED | | AFTER FIRST AMENDMENT | | AFTER SECOND AMENDMENT | | AFTER THIRD | |
| | Indep | Depend | Indep | Depend | Indep | Depend | Indep | Depend |
| 1 | ✓ | | ✓ | | | | | |
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| Total Indep | 3 | 26 | 3 | 30 | | | | |
| Total Depend | 24 | | 30 | | | | | |
| Total Claims | 29 | | 33 | | | | | |
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| Total Indep | | | | | | | | |
| Total Depend | | | | | | | | |
| Total Claims | | | | | | | | |

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